

CA High-Speed Rail Authority  
FY 2013-14  
Capital Outlay and Expenditure Report  
June 2014

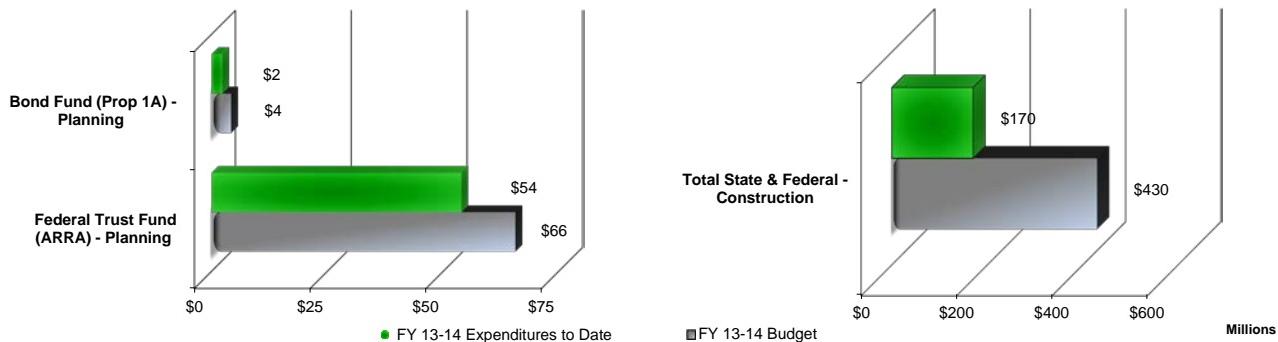


### Budget Summary

Data as of May 01, 2014

<u>Current Year 2013-14</u>	<u>Appropriation</u> <sup>1</sup> (A)	FY 13-14 Budget <sup>2</sup> (B)	FY 13-14 Monthly Expenditures <sup>3,4</sup> (C)	FY 13-14 Expenditures to Date (D)	% Expended (E) = (D / B)	FY 13-14 Remaining Balance (F) = (B - D)	FY 2013-14 Forecast (G)
Bond Fund (Prop 1A) - Planning	\$296,329,000	\$4,235,005	\$452,839	\$2,317,791	55%	\$1,917,213	\$3,619,717
Federal Trust Fund (ARRA) - Planning	\$422,000,000	\$65,637,070	\$5,761,388	\$53,948,446	82%	\$11,688,625	\$69,607,837
<b>PLANNING SUBTOTAL</b>	<b>\$718,329,000</b>	<b>\$69,872,075</b>	<b>\$6,214,227</b>	<b>\$56,266,237</b>	<b>81%</b>	<b>\$13,605,838</b>	<b>\$73,227,555</b>
Bond Fund (Prop 1A) - Construction	\$2,663,576,231	\$0	\$0	\$0	0%	\$0	\$0
Federal Trust Fund (ARRA) - Construction	\$3,059,176,231	\$429,750,079	\$38,677,736	\$170,157,749	40%	\$259,592,331	\$282,757,573
<b>CONSTRUCTION SUBTOTAL</b>	<b>\$5,722,752,462</b>	<b>\$429,750,079</b>	<b>\$38,677,736</b>	<b>\$170,157,749</b>	<b>40%</b>	<b>\$259,592,331</b>	<b>\$282,757,573</b>
<b>TOTAL</b>	<b>\$6,441,081,462</b>	<b>\$499,622,154</b>	<b>\$44,891,962</b>	<b>\$226,423,985</b>	<b>45%</b>	<b>\$273,198,169</b>	<b>\$355,985,128</b>

### Current Fiscal Year 2013-14

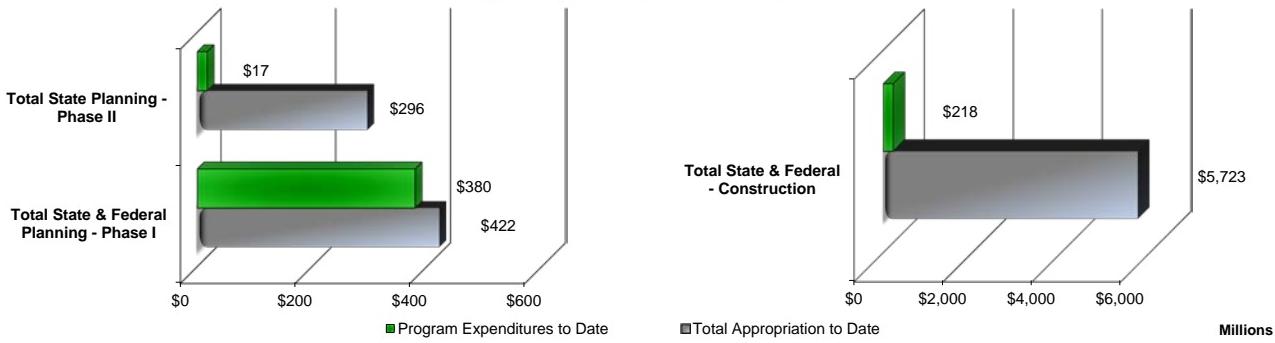


### Project Summary

Data as of May 01, 2014

<u>Program to Date</u>	<u>Appropriation</u> <sup>(A)</sup>	Total Program Budget <sup>(B)</sup>	FY 13-14 Monthly Expenditures <sup>(C)</sup>	Total Program Expenditures to Date <sup>(D)</sup>	% Expended (E) = (D / B)	Remaining Balance (F) = (B - D)	Project Forecast (G)
Bond Fund (Prop 1A) - Phase II - Planning	\$296,329,000	\$208,893,666	\$452,839	\$17,207,015	8%	\$191,686,651	\$194,212,108
Federal Trust & Bond Funds - Phase I - Planning	\$422,000,000	\$560,936,474	\$5,761,388	\$379,859,040	68%	\$181,077,434	\$577,474,746
<b>PLANNING SUBTOTAL</b>	<b>\$718,329,000</b>	<b>\$769,830,141</b>	<b>\$6,214,227</b>	<b>\$397,066,055</b>	<b>52%</b>	<b>\$372,764,085</b>	<b>\$771,686,854</b>
<b>CONSTRUCTION SUBTOTAL</b>	<b>\$5,722,752,462</b>	<b>\$5,722,752,462</b>	<b>\$38,677,736</b>	<b>\$217,953,210</b>	<b>4%</b>	<b>\$5,504,799,252</b>	<b>\$5,722,752,462</b>
<b>TOTAL</b>	<b>\$6,441,081,462</b>	<b>\$6,492,582,602</b>	<b>\$44,891,962</b>	<b>\$615,019,265</b>	<b>9%</b>	<b>\$5,877,563,337</b>	<b>\$6,494,439,316</b>

### Program Expenditures FY 10-11 To Date



<sup>1</sup> Fund appropriations for Capital Outlay are available across multiple State Fiscal Years per Senate Bill (SB) 1029. Prop.1A bonds were sold in State Fiscal Year (SFY) 2009-10 and were available for use from SFY 2010-11 through SFY 2016-17. American Recovery and Reinvestment Act (ARRA) grant funds expire September 2017.

<sup>2</sup> Updated to reflect Budget Act of 2013, Items 2665-491 and 2665-492.

<sup>3</sup> Expenditures are sourced from the Funding Contribution Plan (FCP). The FCP is the quarterly report prepared for the Federal Railroad Administration (FRA). The expenditures and forecast illustrate how the provisions of the grant and the required matches will be fulfilled. Illustrates the expenditures and forecast for the Phase I environmental work and the First Construction Section (FCS) only.

<sup>4</sup> Actuals paid are less than expenditures due to a lag in the State and Federal Payment processes.

**CA High-Speed Rail Authority**  
**FY 2013-14**  
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**June 2014**



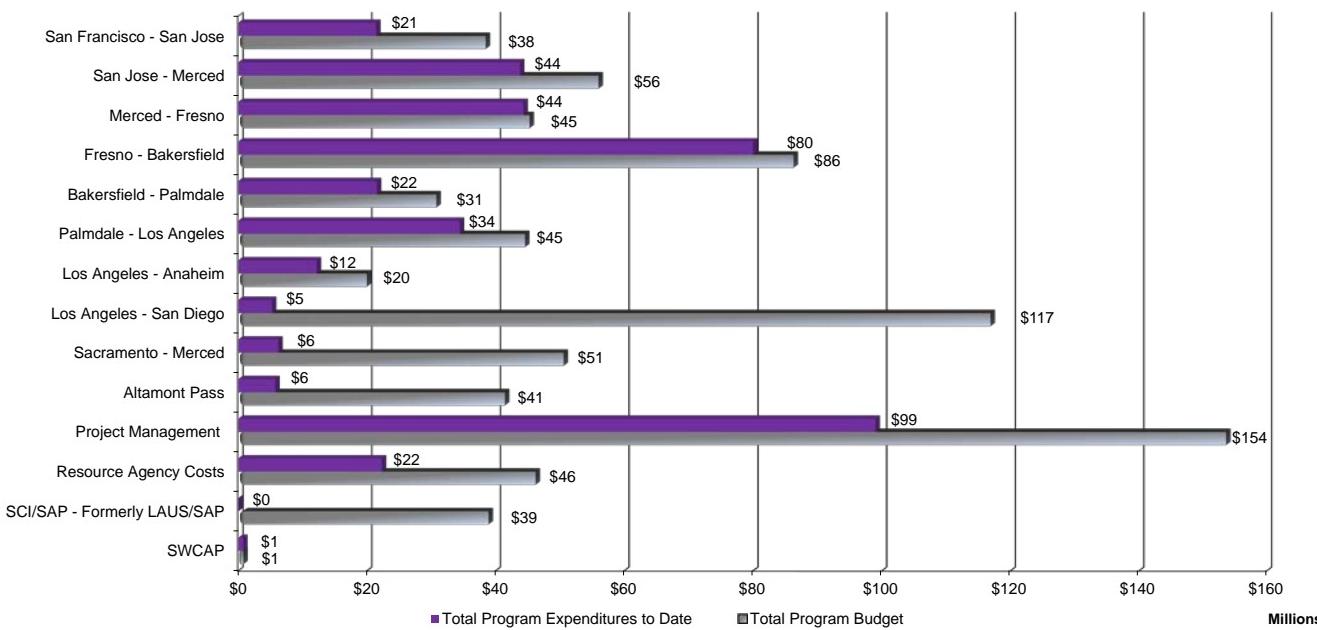
**Planning - State and Federal Funds**

Data as of May 01, 2014

Current Year 2013-14	Appropriation (A)	FY 2013-14 Budget (B)	FY 13-14 Monthly Expenditures (C)	FY 13-14 Expenditures to Date (D)	% Expended (E) = (D / B)	FY 13-14 Remaining Balance <sup>6</sup> (F) = (B - D)	FY 2013-14 Forecast (G)
San Francisco - San Jose		\$54,021	\$0	\$54,021	100%	\$0	\$54,021
San Jose - Merced		\$6,244,544	\$367,863	\$4,881,499	78%	\$1,363,045	\$6,244,545
Merced - Fresno		\$5,540,128	\$221,272	\$4,174,958	75%	\$1,365,170	\$5,540,128
Fresno - Bakersfield		\$19,114,812	\$1,284,354	\$16,126,118	84%	\$2,988,694	\$19,114,812
Bakersfield - Palmdale		\$4,699,987	\$1,324,937	\$2,891,445	62%	\$1,808,542	\$5,160,270
Palmdale - Los Angeles		\$6,774,084	\$549,430	\$4,277,773	63%	\$2,496,311	\$6,774,084
Los Angeles - Anaheim		\$2,795,359	\$156,851	\$1,374,381	49%	\$1,420,978	\$2,795,359
Los Angeles - San Diego		\$1,206,433	\$138,889	\$407,997	34%	\$798,436	\$958,667
Sacramento - Merced		\$1,028,571	\$138,612	\$586,553	57%	\$442,018	\$836,388
Altamont Pass		\$2,000,000	\$175,338	\$1,323,240	66%	\$676,760	\$1,824,662
Project Management		\$15,248,262	\$1,210,684	\$13,767,002	90%	\$1,481,260	\$16,260,737
Resource Agency Costs		\$5,165,874	\$645,998	\$6,401,250	124%	-\$1,235,376	\$7,663,882
SCI/SAP - Formerly LAUS/SAP		\$0	\$0	\$0	0%	\$0	\$0
SWCAP		\$0	\$0	\$0	0%	\$0	\$0
<b>TOTALS</b>		<b>\$718,329,000</b>	<b>\$69,872,075</b>	<b>\$6,214,227</b>	<b>81%</b>	<b>\$13,605,838</b>	<b>\$73,227,555</b>

Program Total	Appropriation (A)	Total Program Budget (B)	FY 13-14 Monthly Expenditures (C)	Total Program Expenditures to Date (D)	% Expended (E) = (D / B)	Remaining Balance (F) = (B - D)	Project Forecast (G)
San Francisco - San Jose		\$38,454,413	\$0	\$21,444,409	56%	\$17,010,004	\$37,608,366
San Jose - Merced		\$56,005,951	\$367,863	\$43,786,507	78%	\$12,219,444	\$56,110,152
Merced - Fresno		\$45,273,431	\$221,272	\$44,342,661	98%	\$930,771	\$47,281,546
Fresno - Bakersfield		\$86,267,299	\$1,284,354	\$80,019,321	93%	\$6,247,978	\$87,426,934
Bakersfield - Palmdale		\$30,810,702	\$1,324,937	\$21,552,268	70%	\$9,258,434	\$34,017,281
Palmdale - Los Angeles		\$44,596,939	\$549,430	\$34,425,535	77%	\$10,171,405	\$47,056,382
Los Angeles - Anaheim		\$19,999,280	\$156,851	\$12,201,230	61%	\$7,798,051	\$20,260,119
Los Angeles - San Diego		\$116,881,432	\$138,889	\$5,235,485	4%	\$111,645,947	\$112,876,778
Sacramento - Merced		\$50,578,442	\$138,612	\$6,198,627	12%	\$44,379,815	\$44,745,013
Altamont Pass		\$41,433,792	\$175,338	\$5,772,903	14%	\$35,660,889	\$36,590,316
Project Management		\$153,620,406	\$1,210,684	\$99,011,413	64%	\$54,608,993	\$110,367,879
Resource Agency Costs		\$46,212,531	\$645,998	\$22,313,508	48%	\$23,899,022	\$45,550,564
SCI/SAP - Formerly LAUS/SAP	<sup>5</sup>	\$38,900,000	\$0	\$0	0%	\$38,900,000	\$91,000,000
SWCAP		\$795,522	\$0	\$762,189	96%	\$33,333	\$795,522
<b>TOTALS</b>		<b>\$718,329,000</b>	<b>\$769,830,141</b>	<b>\$6,214,227</b>	<b>52%</b>	<b>\$372,764,085</b>	<b>\$771,686,854</b>

**Program Expenditures to Date**



<sup>5</sup> Includes \$48,000,000 local funds for Southern California Improvements (SCI), Formerly Los Angeles Union Station, and \$4,100,000 for Station Area Planning (SAP).

<sup>6</sup> Negatives indicate a higher-than-anticipated rate of expenditure, which will be offset with savings from unexpended funds from other segments.

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FY 2013-14  
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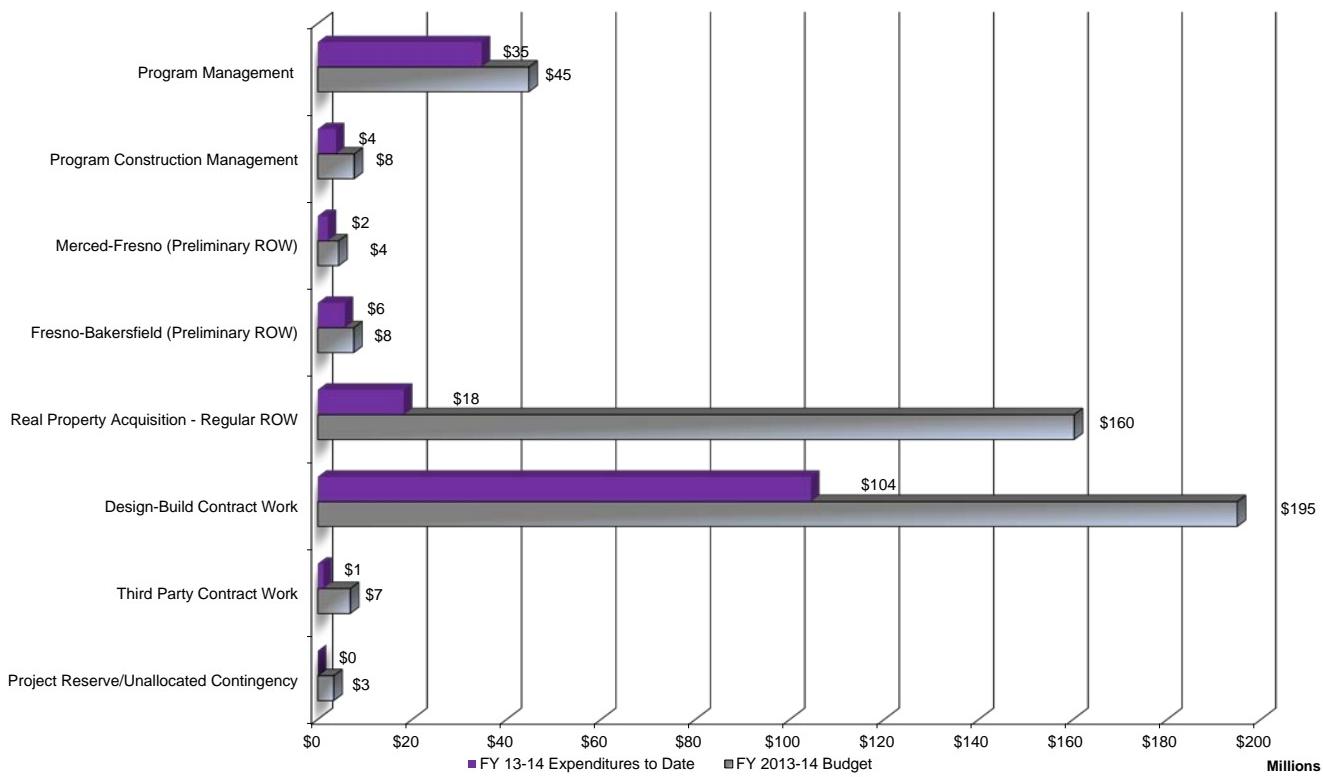
**Construction - State and Federal Funds**

Data as of May 01, 2014

Fiscal Year 2013-2014	Appropriation (A)	FY 2013-14 Budget (B)	FY 13-14 Monthly Expenditures (C)	FY 13-14 Expenditures to Date (D)	% Expended (E) = (D / B)	FY 13-14 Remaining Balance (F) = (B - D)	FY 2013-14 Forecast (G)
	Notes						
Program Management		\$44,683,740	\$4,461,752	\$34,722,090	78%	\$9,961,650	\$43,619,065
Program Construction Management		\$7,698,294	\$558,067	\$3,818,935	50%	\$3,879,359	\$5,330,875
Merced-Fresno (Preliminary ROW)		\$4,406,000	\$258,341	\$2,100,237	48%	\$2,305,763	\$3,572,459
Fresno-Bakersfield (Preliminary ROW)	7	\$7,603,487	\$491,486	\$5,703,436	75%	\$1,900,051	\$9,894,367
Real Property Acquisition - Regular ROW		\$160,197,036	\$3,512,607	\$18,214,653	11%	\$141,982,383	\$57,025,912
Design-Build Contract Work		\$194,917,169	\$28,895,482	\$104,448,399	54%	\$90,468,771	\$160,814,896
Third Party Contract Work		\$6,880,815	\$500,000	\$1,150,000	17%	\$5,730,815	\$2,500,000
Project Reserve/Unallocated Contingency		\$3,363,538	\$0	\$0	0%	\$3,363,538	\$0
<b>TOTALS</b>		<b>\$5,722,752,462</b>	<b>\$429,750,079</b>	<b>\$38,677,736</b>	<b>40%</b>	<b>\$259,592,331</b>	<b>\$282,757,573</b>

Program Total	Appropriation (A)	Total Program Budget (B)	FY 13-14 Monthly Expenditures (C)	Total Program Expenditures to Date (D)	% Expended E = (D / B)	Remaining Balance F = (B - D)	Project Forecast G
	Notes						
Program Management		\$262,883,970	\$4,461,752	\$65,811,507	25%	\$197,072,463	\$262,859,422
Program Construction Management	5	\$159,207,282	\$558,067	\$3,874,682	2%	\$155,332,600	\$159,009,760
Merced-Fresno (Preliminary ROW)		\$7,951,927	\$258,341	\$7,600,162	96%	\$351,765	\$12,096,825
Fresno-Bakersfield (Preliminary ROW)	7	\$25,090,562	\$491,486	\$11,101,566	44%	\$13,988,996	\$25,879,287
Real Property Acquisition - Regular ROW	5, 11	\$753,299,006	\$3,512,607	\$23,966,894	3%	\$729,332,112	\$753,225,583
Design-Build Contract Work		\$4,036,943,619	\$28,895,482	\$104,448,399	3%	\$3,932,495,220	\$4,032,305,491
Third Party Contract Work		\$110,500,000	\$500,000	\$1,150,000	1%	\$109,350,000	\$110,500,000
Project Reserve/Unallocated Contingency		\$366,876,095	\$0	\$0	0%	\$366,876,095	\$366,876,095
<b>TOTALS</b>		<b>\$5,722,752,462</b>	<b>\$5,722,752,462</b>	<b>\$38,677,736</b>	<b>4%</b>	<b>\$5,504,799,252</b>	<b>\$5,722,752,462</b>

**Current Year 2013-14 Construction**



<sup>5</sup> Includes \$48,000,000 local funds for Southern California Improvements (SCI), Formerly Los Angeles Union Station, and \$4,100,000 for Station Area Planning (SAP).

<sup>7</sup> Does not include recently approved change request.

<sup>11</sup> Decreases in YTD construction costs are a result of updates to CP1 actuals due to lag in reporting of YTD expenditures. Reporting lag not expected for future reports.



**California High-Speed Rail Authority**  
**2013-14 Budget & Expenditure Summary**  
**Proposition 1A - Planning**  
**June 2014**

Data as of May 01, 2014

Bond Fund  
2665-301-6043

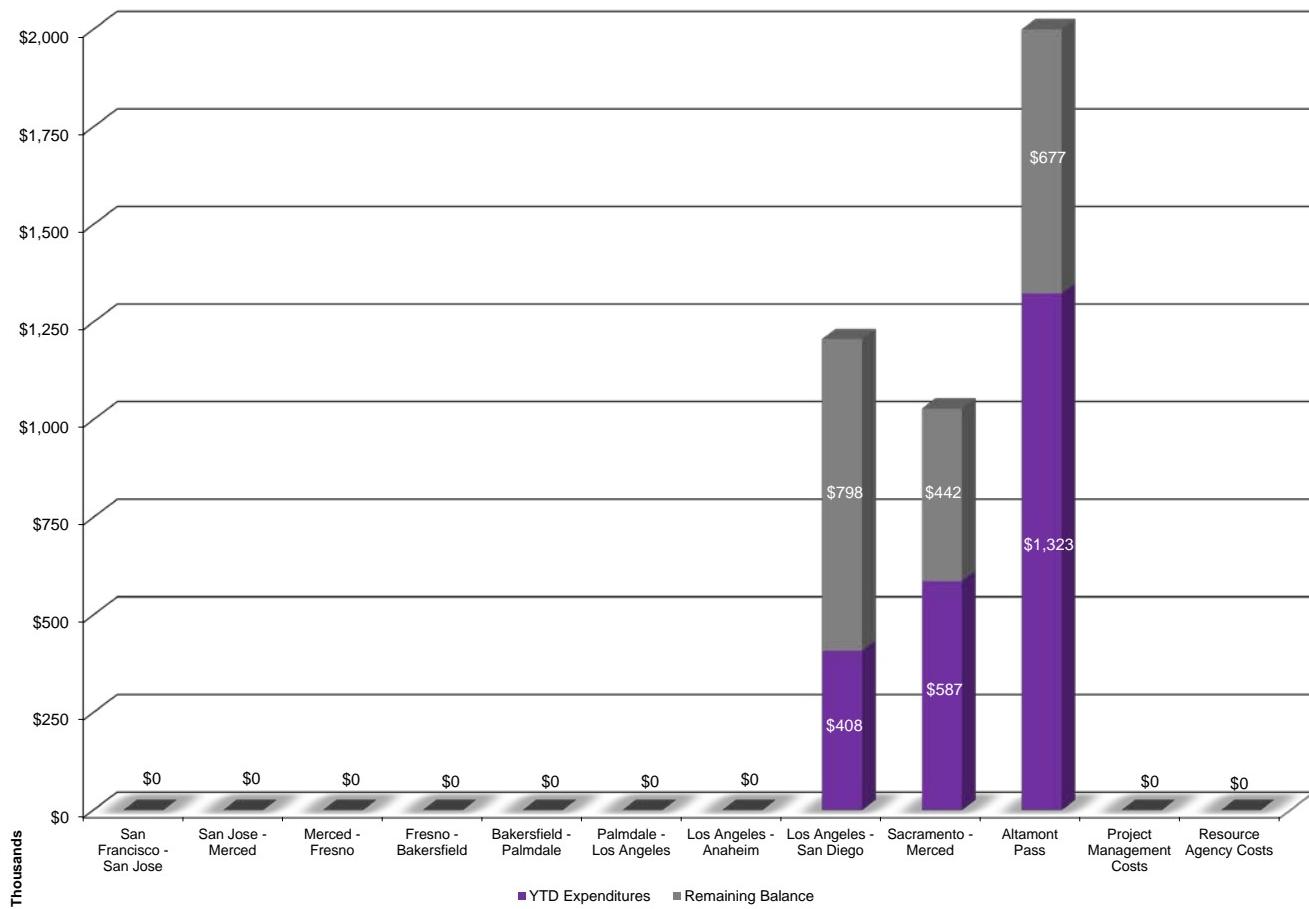
FY 2013-14 Planning and Preliminary Engineering		Appropriation	FY 2013-14 Budget	FY 2013-14 Monthly Expenditures	FY 2013-14 YTD Expenditures	% of Expended	FY 2013-14 Remaining Balance	FY 13-14 Forecast
Sections	Notes	(A)	<sup>9</sup> (B)	(C)	<sup>8</sup> (D)	E = (D / B)	F = (B - D)	G
		\$ 296,329,000						
San Francisco - San Jose			\$0	\$0	\$0	0%	\$0	\$0
San Jose - Merced			\$0	\$0	\$0	0%	\$0	\$0
Merced - Fresno			\$0	\$0	\$0	0%	\$0	\$0
Fresno - Bakersfield			\$0	\$0	\$0	0%	\$0	\$0
Bakersfield - Palmdale			\$0	\$0	\$0	0%	\$0	\$0
Palmdale - Los Angeles			\$0	\$0	\$0	0%	\$0	\$0
Los Angeles - Anaheim			\$0	\$0	\$0	0%	\$0	\$0
Los Angeles - San Diego		\$1,206,433	\$138,889	\$407,997	34%	\$798,436	\$958,667	
Sacramento - Merced		\$1,028,571	\$138,612	\$586,553	57%	\$442,018	\$836,388	
Altamont Pass		\$2,000,000	\$175,338	\$1,323,240	66%	\$676,760	\$1,824,662	
Project Management Costs			\$0	\$0	\$0	0%	\$0	\$0
Resource Agency Costs			\$0	\$0	\$0	0%	\$0	\$0
SCI/SAP - Formerly LAUS/SAP			\$0	\$0	\$0	0%	\$0	\$0
SWCAP			\$0	\$0	\$0	0%	\$0	\$0
<b>TOTAL</b>		<b>\$296,329,000</b>	<b>\$4,235,005</b>	<b>\$452,839</b>	<b>\$2,317,791</b>	<b>55%</b>	<b>\$1,917,213</b>	<b>\$3,619,717</b>

Notes:

<sup>8</sup> YTD Expenditures may include forecasted amounts.

<sup>9</sup> Budget is based on the submitted Approved Work Plan.

### Proposition 1A - Planning





**California High-Speed Rail Authority**  
**2013-14 Budget & Expenditure Summary**  
**Federal Trust Fund - Planning**  
**June 2014**

Data as of May 01, 2014

**Federal Trust Fund**  
**2665-301-0890**

FY 2013-14 Planning and Preliminary Engineering		Appropriation	FY 2013-14 Budget	FY 2013-14 Monthly Expenditures	FY 2013-14 YTD Expenditures	% of Expended	FY 2013-14 Remaining Balance F = (B - D)	FY 13-14 Forecast
Sections	Notes	(A)	<sup>9</sup> (B)	(C)	<sup>8</sup> (D)	E = (D / B)		G
		\$ 422,000,000						
San Francisco - San Jose			\$54,021	\$0	\$54,021	100%	\$0	\$54,021
San Jose - Merced			\$6,244,544	\$367,863	\$4,881,499	78%	\$1,363,045	\$6,244,545
Merced - Fresno			\$5,540,128	\$221,272	\$4,174,958	75%	\$1,365,170	\$5,540,128
Fresno - Bakersfield			\$19,114,812	\$1,284,354	\$16,126,118	84%	\$2,988,694	\$19,114,812
Bakersfield - Palmdale			\$4,699,987	\$1,324,937	\$2,891,445	62%	\$1,808,542	\$5,160,270
Palmdale - Los Angeles			\$6,774,084	\$549,430	\$4,277,773	63%	\$2,496,311	\$6,774,084
Los Angeles - Anaheim			\$2,795,359	\$156,851	\$1,374,381	49%	\$1,420,978	\$2,795,359
Los Angeles - San Diego			\$0	\$0	\$0	0%	\$0	\$0
Sacramento - Merced			\$0	\$0	\$0	0%	\$0	\$0
Altamont Pass			\$0	\$0	\$0	0%	\$0	\$0
Project Management Costs			\$15,248,262	\$1,210,684	\$13,767,002	90%	\$1,481,260	\$16,260,737
Resource Agency Costs	<sup>6</sup>		\$5,165,874	\$645,998	\$6,401,250	124%	-\$1,235,376	\$7,663,882
SCI/SAP - Formerly LAUS/SAP								
SWCAP			\$0	\$0	\$0	0%	\$0	\$0
<b>TOTAL</b>		<b>\$422,000,000</b>	<b>\$65,637,070</b>	<b>\$5,761,388</b>	<b>\$53,948,446</b>	<b>82%</b>	<b>\$11,688,625</b>	<b>\$69,607,837</b>

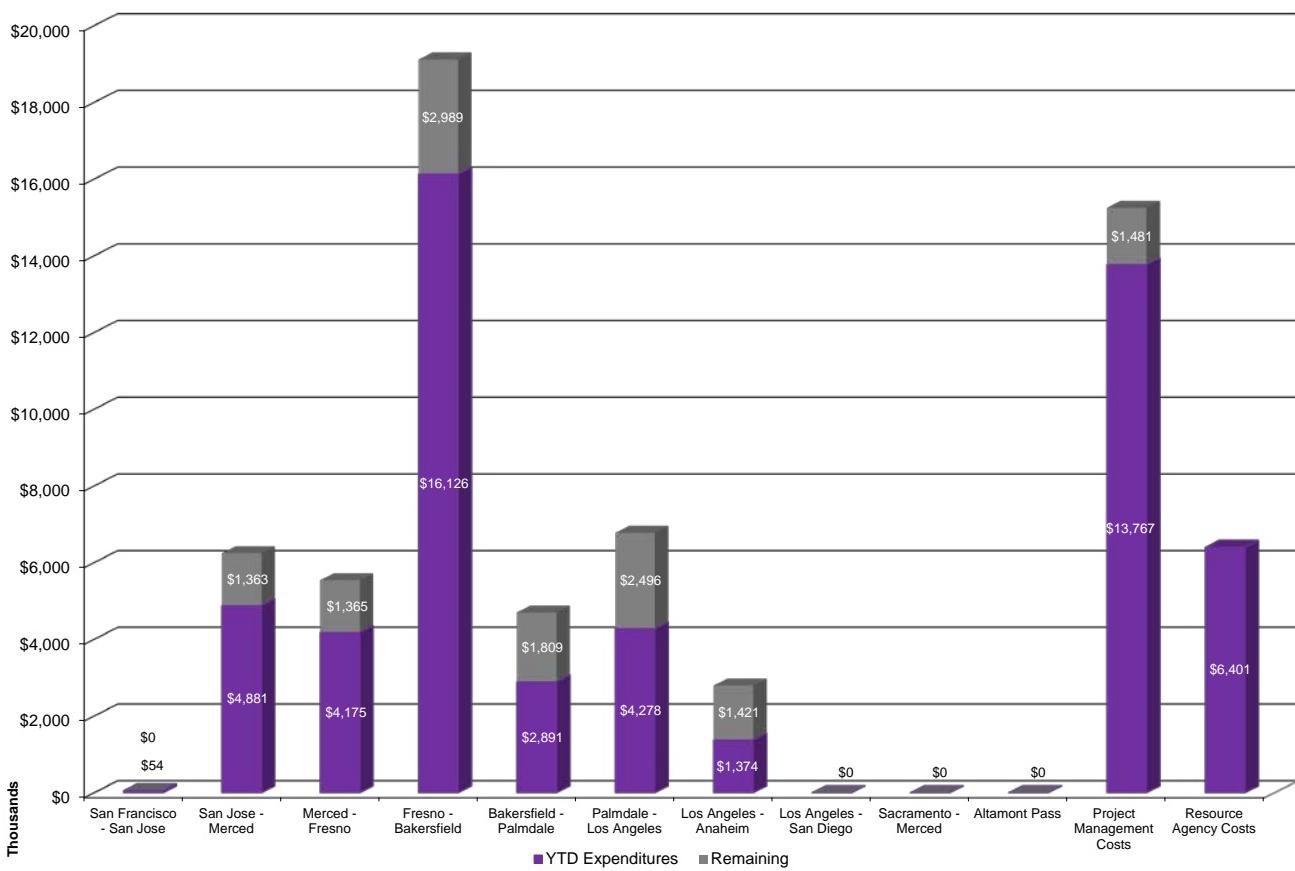
**Notes:**

<sup>6</sup> Negatives indicate a higher-than-anticipated rate of expenditure, which will be offset with savings from unexpended funds from other segments.

<sup>8</sup> YTD Expenditures may include forecasted amounts.

<sup>9</sup> Budget is based on the submitted Approved Work Plan.

**Federal Trust Fund - Planning**





**California High-Speed Rail Authority  
2013-14 Budget & Expenditure Summary  
Proposition 1A - Construction**

June 2014

Data as of May 01, 2014

Bond Fund  
2665-306-6043

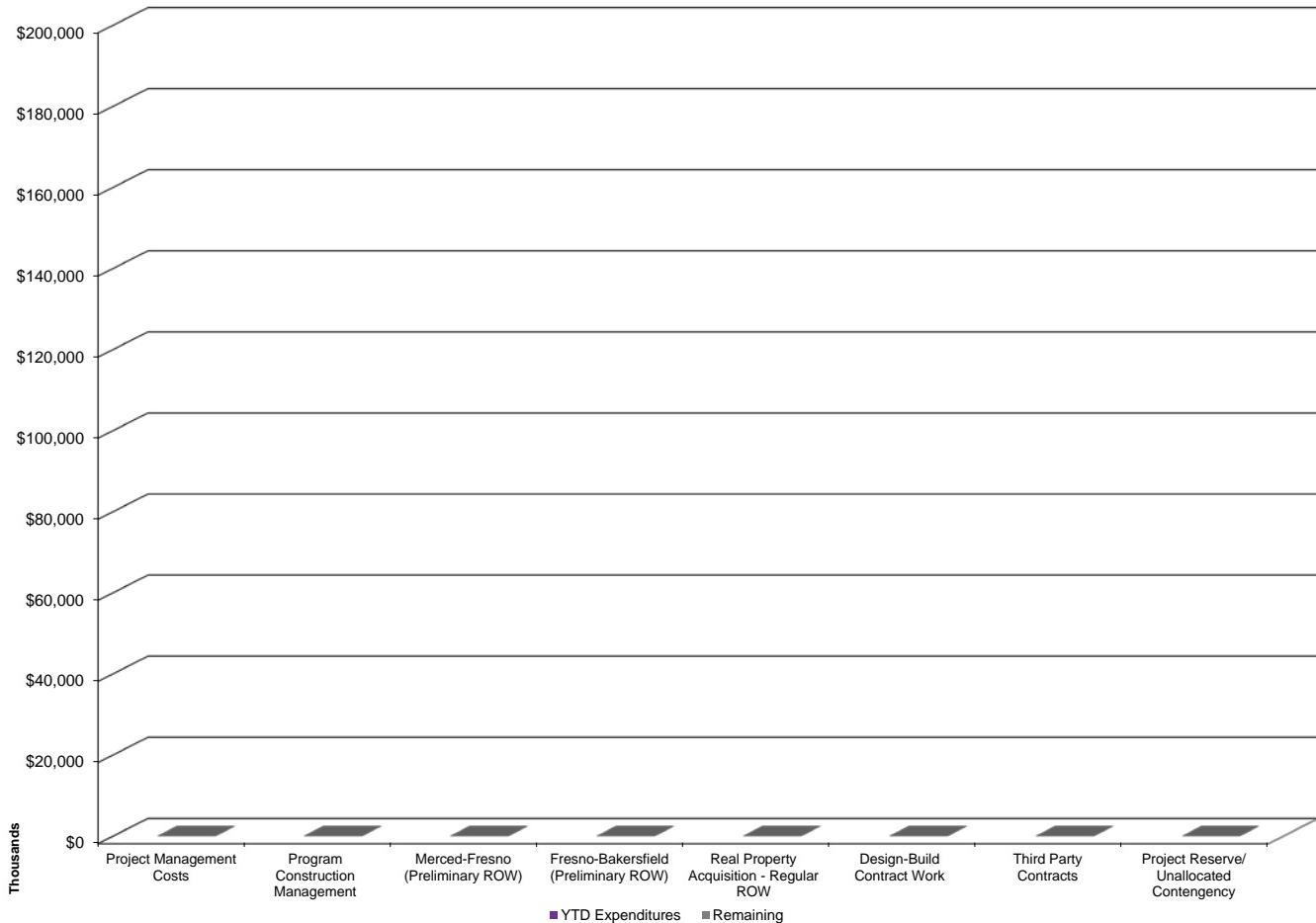
FY 2013-14 Planning and Preliminary Engineering		Appropriation	FY 2013-14 Budget	FY 2013-14 Monthly Expenditures	FY 2013-14 YTD Expenditures <sup>8</sup>	% of Expended	FY 2013-14 Remaining Balance F = (B - D)	FY 13-14 Forecast
Sections	Notes	(A)	<sup>10</sup> (B)	(C)	(D)	E = (D / B)		G
		\$ 2,663,576,231						
Project Management Costs			\$0	\$0	\$0	0%	\$0	\$0
Program Construction Management			\$0	\$0	\$0	0%	\$0	\$0
Merced-Fresno (Preliminary ROW)			\$0	\$0	\$0	0%	\$0	\$0
Fresno-Bakersfield (Preliminary ROW)			\$0	\$0	\$0	0%	\$0	\$0
Real Property Acquisition - Regular ROW			\$0	\$0	\$0	0%	\$0	\$0
Design-Build Contract Work			\$0	\$0	\$0	0%	\$0	\$0
Third Party Contracts			\$0	\$0	\$0	0%	\$0	\$0
Project Reserve/Unallocated Contingency			\$0	\$0	\$0	0%	\$0	\$0
<b>TOTAL</b>		<b>\$2,663,576,231</b>		<b>\$0</b>	<b>\$0</b>	<b>0%</b>	<b>\$0</b>	<b>\$0</b>

Notes:

<sup>8</sup> YTD Expenditures may include forecasted amounts.

<sup>10</sup> Budget is based on the approved December 2013 FCP and modified with April 2014 actual expenditures.

**Proposition 1A - Construction**





**California High-Speed Rail Authority**  
**2013-14 Budget & Expenditure Summary**  
**Federal Trust Fund - Construction**  
**June 2014**

Data as of May 01, 2014

**Federal Trust Fund**  
**2665-306-0890**

FY 2013-14 Planning and Preliminary Engineering		Appropriation	FY 2013-14 Budget	FY 2013-14 Monthly Expenditures	FY 2013-14 YTD Expenditures	% of Expended	FY 2013-14 Remaining Balance F = (B - D)	FY 13-14 Forecast
Sections	Notes	(A)	<sup>10</sup> (B)	(C)	<sup>8</sup> (D)	E = (D / B)		G
		\$3,059,176,231						
Project Management Costs			\$44,683,740	\$4,461,752	\$34,722,090	78%	\$9,961,650	\$43,619,065
Program Construction Management			\$7,698,294	\$558,067	\$3,818,935	50%	\$3,879,359	\$5,330,875
Merced-Fresno (Preliminary ROW)			\$4,406,000	\$258,341	\$2,100,237	48%	\$2,305,763	\$3,572,459
Fresno-Bakersfield (Preliminary ROW)			\$7,603,487	\$491,486	\$5,703,436	75%	\$1,900,051	\$9,894,367
Real Property Acquisition - Regular ROW			\$160,197,036	\$3,512,607	\$18,214,653	11%	\$141,982,383	\$57,025,912
Design-Build Contract Work	<sup>11</sup>		\$194,917,169	\$28,895,482	\$104,448,399	54%	\$90,468,771	\$160,814,896
Third Party Contracts			\$6,880,815	\$500,000	\$1,150,000	17%	\$5,730,815	\$2,500,000
Project Reserve/Unallocated Contingency			\$3,363,538	\$0	\$0	0%	\$3,363,538	\$0
<b>TOTAL</b>		<b>\$3,059,176,231</b>	<b>\$429,750,079</b>	<b>\$38,677,736</b>	<b>\$170,157,749</b>	<b>40%</b>	<b>\$259,592,331</b>	<b>\$282,757,573</b>

**Notes:**

<sup>8</sup> YTD Expenditures may include forecasted amounts.

<sup>10</sup> Budget is based on the approved December 2013 FCP and modified with April 2014 actual expenditures.

<sup>11</sup> Decreases in YTD construction costs are a result of updates to CP1 actuals due to lag in reporting of YTD expenditures. Reporting lag not expected for future reports.

**Federal Trust Fund - Construction**

